Attorney Docket No. 371818001US1

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: August 13, 2004

Sandy Reisman

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

É APPLICATION OF: MARK N. BILLINGHURST ET AL.

APPLICATION No.: 10/692,020

FILED: OCTOBER 22, 2003

FOR: TRACKING A SURFACE IN A 3-

DIMENSIONAL SCENE USING NATURAL VISUAL FEATURES OF THE SURFACE

**EXAMINER: NOT YET ASSIGNED** 

8531

ART UNIT: 2621

CONF. NO:

<u>Information Disclosure Statement Within Three Months of</u>
<u>Application Filing or Before First Action – 37 C.F.R. § 1.97(b)</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## 1. <u>Timing of Submission</u>

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO/SB/08a/b (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

## 2. <u>Cited Information</u>

$\boxtimes$	Copies	of the	following	references	are	enclosed:
-------------	--------	--------	-----------	------------	-----	-----------

$\boxtimes$	All cited references
	References marked

References marked by asterisks

☐ The following:

	Copies of the following references can be found in parent U.S. Application No.				
		All cited references References marked by asterisks The following:			
	paten	application was filed after 30 June 2003 and no copies of U.S. ts nor published applications are enclosed (See Notice of Deputy nissioner Kunin on 11 July 2003).			
	under a con Autho be an for the the tra accura	ollowing references are not in English. For each such reference, the signed has enclosed (i) a translation of the reference; (ii) a copy of numerication from a foreign patent office or International Searching rity citing the reference, (iii) a copy of a reference which appears to English-language counterpart, or (iv) an English-language abstract e reference prepared by a third party. Applicant has not verified that anslation, English-language counterpart or third-party abstract is an ate representation of the teachings of the non-English reference, h, and reserves the right to demonstrate otherwise.			
		All cited references References marked by ampersands The following:			
Effect	of Info	rmation Disclosure Statement (37 C.F.R. § 1.97(h))			
that: exam result cited applic art to	(i) a sination s and tinformation the substitution (ii)	tion Disclosure Statement is not to be construed as a representation search has been made; (ii) additional information material to the of this application does not exist; (iii) the information, protocols, he like reported by third parties are accurate or enabling; or (iv) the ation is, or is considered to be, material to patentability. In addition, es not admit that any enclosed item of information constitutes prior eject invention and specifically reserves the right to demonstrate that erence is not prior art.			
Fee F	<u>'aymen</u>	<u>t</u>			
		e believed due because this Information Disclosure Statement is efore the mailing date of the first Office Action.			
		cant further submits that no fee is due in light of the following cation under 37 C.F.R. § 1.97(e) (check only one):			
		In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart			

3.

4.

foreign application not more than three months prior to the filing of this statement; or

In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

## 5. Patent Term Adjustment (37 C.F.R. § 1.704(d))

The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted, Perkins doi: LLP

PEIKING WOIE LAP

Steven D. Lawrenz

Registration No. 37,376

## **Correspondence Address:**

Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000 AUS 1 6 2004 Williams

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/B/PTO Application Number 10/692,020-Conf. #8531 INFORMATION DISCLOSURE Filing Date October 22, 2003 STATEMENT BY APPLICANT First Named Inventor Mark N. Billinghurst Art Unit 2621 (Use as many sheets as necessary) Examiner Name Not Yet Assigned 1 1 371818001US1 Sheet of Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

		FOREI	GN PATENT D	OCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.'	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Ė

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, and/or country where published.				
		Azuma, R., "A Survey of Augmented Reality", Presence: Teleoperators and Virtual Environments, 1997, Vol. 6, No. 4, pp. 355-385.			
		Azuma, R. et al., "Recent Advances in Augmented Reality", IEEE Computer Graphics and Applications, 2001, Vol. 21, No. 6, pp. 34-47.			
		Ferrari, V. et al., "Markerless Augmented Reality with a Real-time Affine Region Tracker", Proc. of 2nd Int. Symp. on Augmented Reality, 2001, pp. 87-96.			
		Kanbara, M. et al., "A Stereo Vision-based Mixed Reality System with Natural Feature Point Tracking", The Second International Symposium on Mixed Reality, March 2001, pp. 56-63, Yokohama, Japan.			
		Kawashima, T. et al., "Magic Paddle: A Tangible Augmented Reality Interface for Object Manipulation", Proc. of 2nd Int Symp. on Mixed Reality, 2001, pp. 194-195.			
		Klinker, G. et al., "Augmented Reality: A Balancing Act Between High Quality and Real-Time Constraints", Mixed Reality, 1999, pp. 325-346.			
		Rekimoto, J., "Matrix: A Realtime Object Identification and Registration Method for Augmented Reality", Proc. APCHI'98, 1998, pp. 1-6.			
		State, A. et al., "Superior Augmented Reality Registration by Integrating Landmark Tracking and Magnetic Tracking", Proc. SIGGRAPH 96, 1996, pp. 429-438.			
		Uenohara, M. et al., "Vision-Based Object Registration for Real-Time Image Overlay", Proc. CVRMed'95, 1995, pp. 13-22.			
		Yokokohji, Y. et al., "Accurate Image Overlay on See-Through Head-Mounted Displays Using Vision and Accelerometers", Proc. IEEE VR2000, 2000, pp. 247-254.			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	,

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.